IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: NANGALIA et al.	Examiner: Not yet assigned	
Serial No.: Not yet assigned	Group Art Unit: Not yet assigned	
Filing Date: Filed herewith	Docket No.: G08.071	
For: METHODS AND APPARATUS FOR ROUTING SECURITIES ORDERS		

MS PATENT APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

Sir:

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled: **METHODS AND APPARATUS FOR ROUTING SECURITIES ORDERS**

and invented by: NANGALIA et al.

Enclosed are:

- 1. Filing Fee as calculated and transmitted as described below
- 2. \(\overline{\Omega}\) Specification having \(\frac{41}{2}\) pages and including the following:
 - a. Descriptive Title of the Invention
 - b.

 Cross references to Related Applications (if applicable)

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		c.		Statement Reg	garding Federally-sponsored R	lesearch/Development		
		d.		Reference to I	Microfiche Appendix (if applica	ible)		
		e.	e. Background of the Invention					
		f.	☑	Brief Summar	y of the Invention			
		g.	g. Brief Description of the Drawings (if drawings filed)					
		h.	$\overline{\mathbf{A}}$	Detailed Desc	ription			
		i.		Claim(s) as C	lassified Below			
		j.		Abstract of th	e Disclosure			
3.	V	Dr	awii	ng(s) (when nece	ssary as prescribed by 35 USC 113)			
		a.		Formal	Number of Sheets 9			
		b.		Informal	Number of Sheets			
4.		Oa	th o	r Declaration				
		a.	\square	Newly execut	ed (original or copy)	☐ Unexecuted		
		b.		Copy from a p	prior application (37 CFR 1.63	(d))		
		c.		With Power o	f Attorney	☐ Without Power of Attorney		
		d.		Signed statem	OF INVENTOR(S) ent attached deleting inventor(.63(d)(2) and 1.33(b).	(s) named in the Prior Application,		
5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.						
6.	V	Assignment Papers (cover sheet & documents(s))						
7.		37 CFR 3.73(B) Statement (when there is an assignee)						
8.		English Translation Document (if applicable)						
9.		Information Document (if applicable) Information Disclosure Statement/PTO-1449 □ Copies of IDS Citations						
10.		Pre	elim	inary Amendm	ent			
11.	V	Acknowledgement Postcard						
12.	V	Ma	ailec	l Via				
			Firs	t Class	☑ Express Mail (Specify Labe	l No.) EL963891285US		
13.		Certified Copy of Priority Document(s) (if foreign priority is claimed)						
14.		Request and Certification under 35 USC 122(b)(2)(B)(i) (attach PTO/SB/35)						
15.		Additional Enclosures: Credit Card Payment Form						

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FEE CALCULATION

For	No. Filed	No. Allowed	No. Extra	Rate	Fee
Total Claims	54	- 20	34	\$ 18.00	\$ 612.00
Indep. Claims	21	- 3	18	\$ 86.00	\$1,548.00
Multiple Depend	\$ 0.00				
	\$ 770.00				
OTHER FEE (\$ 0.00				
	\$2,930.00				

Credit Card Authorizations for \$2,930.00 and \$40.00 are enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. <u>50-1852</u> as described below. A duplicate copy of this sheet is enclosed.

☑ Credit any overpayment.

☑ Charge any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

December 4, 2003

Date

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